



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Artavanis-Tsakonas et al.

Serial No.: 08/532,384

Group Art Unit: 1806

Filed: September 22, 1995

Examiner: To be assigned

For: THERAPEUTIC AND  
DIAGNOSTIC METHODS AND  
COMPOSITIONS BASED ON  
NOTCH PROTEINS AND  
NUCLEIC ACIDS

Attorney Docket No. 7326-035

#6  
3-4-97  
Donne  
JUL 11 1996

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.56 and § 1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

SIR:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 and § 1.97 to inform the Patent Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby direct the Examiner's attention to the references listed on the attached revised form PTO 1449 entitled "List of References Cited by Applicant."

References AA-BX on the attached revised form PTO 1449 were cited by or submitted to the Patent Office in the prosecution history of patent application Serial No. 08/083,590, filed June 25, 1993, which is a continuation-in-part of patent application Serial No. 07/955,012, filed September 30, 1992 (abandoned) and patent application Serial No. 07/879,038, filed April 30, 1992 (abandoned), to which the instant application

**EXPRESS MAIL CERTIFICATION**

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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

ISMAEL MALDONADO

Signature of person mailing paper or fee

PENY3-446509.1

claims priority under the provisions of 35 U.S.C. § 120. Pursuant to 37 C.F.R.

§ 1.98(d), the Examiner is directed to the files of the above-identified applications for copies of the references cited therein.

Identification of the listed references is not to be construed an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application. Consequently, Applicants respectfully decline to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art." As an alternative, Applicants submit herewith several pages of a "revised form PTO 1449" entitled "List of References Cited" instead of "List of Prior Art Cited."

Applicants respectfully request that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

Pursuant to 37 C.F.R. § 1.97, because this Information Disclosure Statement is being submitted prior to the mailing date of the first Office Action on the merits, no fee is believed to be due. However, if the Patent and Trademark Office determines otherwise, please charge the required fee to Pennie & Edmonds Deposit Account No. 16-1150. A duplicate of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date July 11, 1996

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Enclosure